

FORM PTO-159 (REV 10-99)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			00-587
INTERNATIONAL APPLICATION NO. PCT/DE00/00183			U.S. APPLICATION NO. OF INVENTOR'S PRIORITY DATE CLAIMED <b>09/646816</b>
INTERNATIONAL FILING DATE January 21, 2000			PRIORITY DATE CLAIMED January 22, 1999
TITLE OF INVENTION METHOD OF AUTOMATIC FAULT DETECTION BY CRACK DETECTION BY THE DYE PENETRANT METHOD			
APPLICANT(S) FOR DO/EO/US Klaus Abend			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(b)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <p>Small Entity Declaration</p>			

page 1 of 2

(January 1995)

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September 21, 2000

(Date of Deposit)

Rachel Piscitelli

Name and Reg. No. of Attorney

Rachel Piscitelli

Signature

September 21, 2000

Date of Signature

<small>US APPLICATION NUMBER (37 CFR 1.51)</small> <b>097646816</b>		<small>INTERNATIONAL APPLICATION NO.</small> <b>PCT/DE00/00183</b>		<small>ATTORNEY'S DOCKET NUMBER</small> <b>00-587</b>																	
<b>17. <input checked="" type="checkbox"/> The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO..... \$840.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$660.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$730.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$980.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$92.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> <small>PTO USE ONLY</small>																	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 840.00																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 30%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>15</td> <td>-20 =</td> <td>X \$18 =</td> </tr> <tr> <td>Independent claims</td> <td>1</td> <td>-3 =</td> <td>X \$78 =</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	15	-20 =	X \$18 =	Independent claims	1	-3 =	X \$78 =	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$	\$	
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<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 840.00</b>																	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				<b>\$ 420.00</b>																	
<b>SUBTOTAL =</b>				<b>\$ 420.00</b>																	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$ 420.00</b>																	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 420.00</b>																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<b>\$ 460.00</b>																	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 460.00</b>																	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>460.00</u> to cover the above fees is enclosed.				Amount to be refunded \$																	
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				charged \$																	
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-0184</u> . A duplicate copy of this sheet is enclosed.																					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>																					
<b>Gregory P. LaPointe</b> <small>SIGNATURE</small>  <small>NAME</small> <b>26,295</b> <small>REGISTRATION NUMBER</small>																					
<small>SEND ALL CORRESPONDENCE TO:</small> <b>GREGORY P. LaPOINTE</b> <b>BACHMAN &amp; LaPOINTE, P.C.</b> <b>200 CHAPEL ST., SUITE 1201</b> <b>NEW HAVEN, CT 06510-2802</b>																					

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99

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Applicant : Klaus Abend Docket No.: 00-587

Serial No.: Examiner :

Filed : Art Unit :

PCT No. : PCT/DE00/00183

IFD : January 21, 2000

For : METHOD OF AUTOMATIC FAULT DETECTION BY CRACK  
DETECTION BY THE DYE PENETRANT METHOD

Suite 1201  
900 Chapel Street  
New Haven, CT 06510-2802

Hon. Commissioner of Patents & Trademarks  
United States Patent & Trademark Office  
Washington, D.C. 20231

In the above-identified application for United States patent,  
please amend as follows.

Please amend the claims as follows.

Claim 3, line 1, delete "or 2".

Claim 4, line 1, delete "or 2".

Claim 5, line 1, delete "one of the preceding claims" and insert therefor --claim 1--.

Claim 7, line 1, delete "one of the preceding claims" and insert therefor --claim 1--.

Claim 12, line 1, delete "one of claims 1 to 11" and insert therefor --claim 1--.

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99

Claim 14, line 1, delete "one of claims 7 to 12" and insert therefor --claim 7--.

Claim 15, line 1, delete "one of the preceding claims" and insert therefor --claim 1--.

REMARKS

Amendments have been made to the claims to remove the multiple dependencies in order to conform with U.S. practice. An early action on the merits is respectfully requested.

If any fees are required in connection with this case, it is respectfully requested that they be charged to Deposit Account No. 02-0184.

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Rachel Piscitelli

Name and Reg. No. of Attorney

*Rachel Piscitelli*

Signature

*September 21, 2000*

Date of Signature

Respectfully submitted,

Klaus Abend

By

*Gregory P. LaPointe*  
Gregory P. LaPointe  
Attorney for Applicant  
Telephone - (203) 777-6628

Date: September 21, 2000

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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

00-587

Applicant, Patentee, or Identifier: Abend, Klaus

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: Method of automatic fault detection by crack

detection by the dye penetrant method

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above
- ☐ the application identified above
- ☐ the patent identified above

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Klaus Abend

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

[Signature]  
Signature of inventor

Signature of inventor

Signature of inventor

7.8.2000  
Date

Date

Date

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Method of automatic fault detection by crack  
detection by the dye penetrant method

The invention relates to a method for automatic fault detection by crack detection by the dye penetrant method, whereas workpieces for the dye penetrant test being treated with penetrant containing dye, so that the dye concentrates at surface faults, and, after a predetermined development period, being recorded by at least one image recording device and the recordings being evaluated with regard to faults in an image processing unit by scanning and detecting areas with a concentration of dye, faults being detected and corresponding signals are output.

Automated optical fault detection by dye penetrant testing in production systems which produce workpieces to be tested continuously, such as continuous casting systems, wire-end tests or the like, is known. At present, images from workpieces with dyes are already evaluated optically by means of so-called optical image detection, the faults made visible by the dye penetration method, which is known per se, being detected by an optical scanning and image detection method and compared by means of a stored fault logic. Renewed interest has been shown in dye penetration testing, since recently use has frequently been made of nonferrous lightweight metals, such as aluminum or magnesium alloys or else titanium alloys, for example for aluminum beams, lightweight metal motor blocks etc., and in addition use is also being made to an increasing extent of ceramics, such as for valve components, coatings of highly stressed parts. What is concerned here is routine examinations - in process control - for cracks in non-magnetizable workpieces, such as those made of magnesium or aluminum alloys or ceramic.

What is concerned here, therefore, is crack detection methods in which, in a manner known per se, workpieces for dye penetrant testing are treated with testing agents having dyes, with concentration of the dyes at surface faults, and are evaluated under illumination by means of an illuminating device, such as UV lamps in the case of fluorescent dyes, but also lasers or other lamps in the case of appropriately absorbing dyes.

In this case, the workpieces are usually prepared for the dye penetration testing by being cleaned, if necessary pickled, and dried, sprayed with a testing agent having

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Rachel Piscitelli

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Rachel Piscitelli

(Signature)

September 21, 2000

Date of Signature

dyes, in particular also fluorescent dyes, with concentration of the dyes at surface faults, in particular cracks, then freed of excessive dye-containing testing agent, for example by being scraped off or wiped off, the workpiece treated in this way being treated with a developer, if appropriate, and then, after a predetermined development time, being recorded and evaluated under UV or visible light. Since the time after development during which, the cracks can easily be detected is very short -often in the range of less than one minute, measurement should be made precisely within a specific, reproducible time period subsequently to development.

Hitherto, these dye penetration examinations have mostly been carried out by operating personnel and evaluated by eye. The applicant has already proposed such methods for dye penetrant testing, in addition to associated apparatus. Since a frequent possible error is fatigue in the persons who carry out this testing, automated detection systems via image processing have already been proposed, for example in DE 19639020.6 or DE 19645377.1, to which reference is made to their full extent in order to avoid repetition.

DE 39 07 732 has already disclosed a method for monitoring a device for evaluating surface cracks by means of the dye penetrant method, in which the lamp intensity and the quality of the testing agent are monitored and, in the event of unsatisfactory results, the system is switched off and the quality of the test specimen is checked by means of cameras. The monitoring signals are used only to switch off the system, however; readjustment of the content of the testing agent or of the lamp intensity is not provided there, let alone documentation of the data relating to the system behavior. This known system is therefore only capable of carrying out the action of switching off the system. 19645377.1 has already disclosed the practice of checking and documenting the reliability and checkability of the system.

19645377.1 proposes automatically checking the change in the setting of image recording devices, such as the focus or of the geometric arrangement of the recording device in relation to the test specimen, which are easily changed; 15 likewise, further parameters which have a significant influence on the testing, such as the quality of the cleaning agent, the testing liquid, the pickling agent and the temperature.

In the case of the known method, already both the testing method and its limits, testing

errors and its handling, performance delimitation, tolerance information and so on, which are desired nowadays, are monitored, and a recording and/or documentation of the results and the reproducibility of the results - that is to say the detection of the functional content of the detection system itself is also ensured. This provides additional security if in testing Systems, in particular automatic testing systems, over a relatively long operating period, there are points of view 30 relating to reducing the costs or increasing the certainty that workpieces to be classified as faulty could be evaluated more reliably.

By means of the regular passing of so-called test bodies with predefined test faults, it is possible 35 to determine whether these have still been correctly detected - but by this method it was only possible to establish that the test body was not detected, but not why it was not detected. Since no documentation was created, it was not possible either to demonstrate the point at which the system no longer operated satisfactorily and why.

In this case, the measurements are carried out with penetrant which, because of surface tension phenomena, creeps into depressions and other surface changes, such as faults, voids, pores, depressions. At the same time, given changes in the penetrating agent over time, such as occur over time as a result of concentration changes resulting from evaporation of the solvent of the penetrant, mixing with constituents of the workpiece (residual grease content, contamination, etc.), hitherto a measurement has therefore been carried out within a relatively short time period following the treatment of the workpiece with penetrant /developer - after that, the fault indications change - this is referred to as "blooming" of the fault. This means that the sharp concentration of the penetrant dye at/in the fault decreases, and the dye migrates out of the fault again, and therefore the contrast becomes gradually poorer. Fault evaluation by the dye powder method is therefore subject to dynamic changes which have a high influence on the measurement result. These changes in the fault indication over time could not yet be taken into account merely by the high self-checking properties of the known system. As a result of the dynamics of the fault indication, errors often occur, since the time period between the application of penetrant /developer and the recording of the specimen by an image processing unit could not be maintained exactly. According to the prior art, because of the dynamic behavior of the fault indications, wrong evaluations frequently occurred, since some

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faults were overrated and others were not detected because of rapid "blooming".

Accordingly, the reliability and the effectiveness of crack testing systems by the dye penetrant method had already been improved - but there still remained the problem that the penetrant liquid was concentrated to a very different extent depending on the workpiece. At the same time, both the surface condition of the workpieces and the surface tension of the penetrant liquid formed thereon were different from material to material - blooming of the fault indication took place depending on the type of fault - that is to say at different rates, depending on the depth of the fault, porosity of the material or else the smoothness of the surface.

It is therefore an object of the invention to provide a method of improved detection of faults with penetrant testing.

According to the invention, the object is achieved by a generic method having the steps:

- Making recordings. (A1, A2) of the same workpiece at at least two times (t1, t2) subsequent to treatment with penetrant,
- Comparing the recordings (A1, A2) produced at the different times (t1, t2) and evaluating the comparison by means of the evaluation logic of the optical image processing unit, and
- Outputting signals, by means of the evaluation logic, which represent those changes in the penetrant concentration over the time period ( $\Delta t$ , t1, t2) in corresponding areas on the recordings (A1, A2) which lie above a change threshold for a reference time difference; and
- Evaluating the signals output, taking into account workpiece-related parameters and testing-system-related operating variables, to produce evaluation variables relating to crack formation, such as good/bad information, fault size assessment by a predefined size interval or in a predefined surface area.

Advantageous developments emerge from the dependent claims.

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Since the dynamic behavior of the dyes concentrated at surface discontinuities is now reliably acquired and evaluated, completely new evaluation of the surface faults is possible. The acquisition of the time behavior of the fault indication by means of image-processing methods as a result of recording at time intervals and calculating the differences in contrasts makes it possible, as a result of automatic evaluation on the part of the data processing system of the differences between the recordings made at various times, to classify faults, to evaluate them and, accordingly, to output an indication with faults of a specific type.

By comparison with the evaluation previously mostly carried out by human beings, the method according to the invention has the advantage that human faults, which inevitably occur during the relatively long consideration of always similar images, can be avoided, since cameras cannot have any fatigue phenomena.

Surprisingly, according to the invention it is therefore now possible to classify faults via the dynamic behavior of the fault indication over time. The fact that in such a system initial values are measured and stored means that the systems can be set for a very wide range of specimens and testing liquids. As a result of evaluating the fault indications via recording devices, it is now also possible to draw up a documented test report relating to the operating variables monitored.

It is beneficial for the optical image processing to be implemented by setting windows and scanning the windows by means of the image recording device, the selection and evaluation and the indication of crack faults being automatically linked with the test sequence (cycle time), and the data obtained from this being processed in a computer.

At the same time, provision can be made for a recording device to produce recordings at time intervals that are fixedly predetermined. By providing a single recording unit, which produces at least two recordings at a fixed time interval, the overall size of the crack testing system and its costs can be kept low and, in addition, problems which arise from the use of a number of recording units which do not operate completely identically are circumvented.

However, it is also possible, by means of a conveying device, to lead the workpiece to

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If no fixed time interval between two measurements is set, this can be replaced by measuring the time difference  $t_n, t_{n+1}$  between two recordings  $A_n, A_{n+1}$  of the image recording device and assigning this time period  $\Delta t_n, t_{n-1}$  to the contrast change determined in this time interval. This becomes necessary, for example, if parts cannot be led past the recording devices at predetermined times.

With the effect of verifying the operativeness of the systems and the accuracy of the quality control by means of the method according to the invention, it is generally

necessary and unavoidable to record the monitoring signals and/or the signals from the measured-value processing unit on a medium. For this purpose, it is also generally necessary to measure workpiece-related parameters, such as parts identification numbers and numbers of items, directly and, if appropriate, to record them. The monitoring signals are used to readjust the illumination intensity and/or the sensor sensitivity of the illumination monitoring sensors and/or the concentration and amount of the testing agent and/or the concentration and amount of the cleaning agent and amount of the pickling agent and/or settings of the image recording device(s) such as the geometric arrangement of the focus or the sensitivity.

As an "integral test" of the system, test pieces with reference faults can be passed through automatically and the operativeness of the overall system can be checked by measuring them.

Obviously by the inventive method by checking the illumination system and the monitoring system for operativeness the operation of the system can be checked and held at the same level. By securing the function of the checking system and its constituents automatically in predetermined time intervals, the following advantages are given:

It is now for the first time possible to carry out a distinction between the faults on a specimen automatically and therefore to improve the accuracy and power of the method considerably, it also being possible to carry out a check on the behavior of the system over the entire operating time, including documentation thereof.

Preferred embodiments of the invention will be explained in more detail below using the schematic drawing, the invention in no way being limited to this embodiment, but any desired further embodiments being familiar to those skilled in the art. In the drawings:

Fig. 1 shows a block diagram of a dye penetrant testing method,

Fig. 2 shows a schematic illustration of a crack testing system in accordance with a first embodiment of the invention, and

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Fig. 3 shows a schematic illustration of a crack testing system according to a further embodiment of the invention, having several recording devices.

As can be seen from Fig. 1, in the crack testing method by the dye penetrant method a specimen to be tested - most often nonferritic - is pre-cleaned, if necessary pickled and dried and then treated with a testing agent - also referred to as a dye penetrant agent. The excess dye penetrant is removed after a specific time period, the workpieces intermediately cleaned and then treated with a developer solution. After the development time, the workpiece is dried, if necessary, and inspected at various times and then, on the basis of the different recordings at different times, statements are made about the faultiness of the workpiece, which are also documented, if appropriate.

As can be seen from Fig. 1, a developed workpiece 10 is led as a specimen into a testing station in which the application of the dye penetrant by spray nozzles from a dye penetrant tank 12 is illustrated schematically - in actual fact, the specimen passes through other stations, in which it is treated with cleaning and pickling solutions and developer solutions and dye solutions, which are not illustrated here. Provided in-line in the line leading 5 to the spray heads is a testing agent checking and metered redosing system 17, preferably one in accordance with DE-A-4438510.2.

There, the testing agent is checked for operativeness and, if necessary, dye or the like can 10 be metered into the tank 12, if this is necessary. In the case of this embodiment, which operates with fluorescent dye, the specimen is irradiated by means of a UV lamp 11, which in turn can be monitored in a manner known per se and its current can be readjusted accordingly.

From a storage container 12 (by means of a spray in simpler embodiments), which is connected to a circulating pump, testing liquid 13a, which is used to mark the surface faults, is fed via a feed line by means of spray heads 13 of a spraying system and atomized over the surface of the workpiece 10. The testing liquid distributes over the workpiece, the dye particles - as is generally known as a physical phenomenon - being concentrated at cracks by surface tension. An increased particle concentration therefore arises at these locations. The excess testing liquid is removed, for example by wiping. The specimen is then processed with a developer liquid. After a

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A further embodiment of a system for carrying out a method according to the invention is illustrated in Fig. 3. In this case, groups of measuring units 16, 161, 1611 can output their recordings, which are fed to the respective input of an image processing unit 22. In this case, at least two recordings of each workpiece 10 are made at different times, and the differences between the two recordings is determined - for example by subtraction. These differences can be fed, for example, into a visual display unit 20 or else into a sorting device connected downstream, which automatically separates parts

classified as poor.

The registration of the data flow, based on brightness values, instead of being carried out by a camera, can advantageously also be carried out by means of a diode cell or other suitable means such as are familiar to those skilled in the art. Of course, the documentation can also be created and stored remote from the device, via remote data communication.

The fact that now, for the first time, the kinetic behavior of the testing agent on surfaces of workpieces is evaluated, now makes it surprisingly possible to classify faults and in this way to provide more accurate distinctions between rejects and usable parts and, if appropriate, ranking of the parts by quality, for example into A and B qualities.

Although the invention has been explained using a preferred exemplary embodiment, modifications which fall within the scope of protection of the claims are familiar to those skilled in the art. The invention is therefore in no way limited to the embodiment described.

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Patent claims

1. A method of automatic fault detection by crack detection by the dye penetrant method, workpieces for the dye penetrant test being treated with penetrant containing dye, concentrating the dye at surface faults, concentrating the dye at surface faults and, after a predetermined development period, being recorded by at least one image recording device and the recordings being assessed in an image processing unit by scanning and detecting areas with a concentration of dye, faults being detected and corresponding signals output, defined by

- Making recordings (A1, A2) of the same workpiece at at least two times (t1, t2) following the treatment with penetrating agent,

- Comparing the recordings (A1, A2) produced at the different times (t1, t2) and evaluating the comparison by means of the evaluation logic of the optical image processing unit, and

- Outputting signals, by means of the evaluation logic, which represent those changes in the penetrating agent concentration over the time period ( $\Delta t1, t2$ ) in corresponding areas on the recordings (A1, A2) which lie above a change threshold for a reference time difference; and

- Assessing the signals output, taking into account workpiece-related parameters and testing-system related operating variables, to produce assessment variables relating to crack formation, such as good/bad information, fault size assessment by a predefined size interval or in a predefined surface area.

2. The method as claimed in claim 1, wherein the optical image processing is implemented by setting windows and scanning the windows by means of the image recording device, the selection and evaluation and the indication of crack faults being automatically linked with the test sequence (cycle time), and the data obtained from this being processed in a computer.

3. The method as claimed in claim 1 or 2, wherein the image recording device

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produces recordings at time intervals that are fixedly predetermined.

4. The method as claimed in claim 1 or 2, wherein by

- means of a conveying device, the workpiece is lead with the same physical orientation past at least two recording devices (K1, K2 ... Kn) arranged at a distance from one another, so that recordings (A1, A2, ..., An) made by the various image recording devices (K1, K2, ... Kn) of the workpiece with a constant physical orientation but at different times after the treatment with penetrating agent are produced, and

- the recordings (A1, A2, ..., An) from the various recording devices (K1, K2, ..., Kn) are compared with one another by evaluation logic and, from the differences between the recordings (A1, A2, ..., An), signals are formed on the basis of the time intervals that have elapsed between the recordings.

5. The method as claimed in one of the preceding claims, wherein reference data for the image changes ( $\Delta A1, A2$ ) and data relating to the time difference ( $\Delta t_n, t_{n+1}$ ) between the respective time periods that have elapsed between the recordings (A1, A2, ..., An) are stored in the memory of the evaluation logic, and the evaluation logic makes a comparison to see whether the measured difference values are within the prescribed threshold values and, accordingly, signals are output which represent only the faults within a predetermined time interval.

6. The method as claimed in claim 2, wherein if the cycle time of the testing sequence is not fixed, the time interval is defined by measuring the time difference ( $\Delta t_n, t_{n+1}$ ) between two recordings (An, An+1) of the image recording device and assigning this time period ( $\Delta t_n, t_{n+1}$ ) to the contrast change determined in this time interval.

7. The method as claimed in one of the preceding claims, wherein constituent parts and parameters of the system are monitored at predetermined time intervals by monitoring units and monitoring signals are output, which are checked by the measured-value processing unit and, accordingly, signals are output.

8. The method as claimed in claim 7, wherein the constituent parts and

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parameters of the system that are to be monitored are the geometric arrangement, the focus and also the function of the at least one image recording device; the function of the illuminating device and/or the operativeness of the liquids used in the method.

9. The method as claimed in claim 8, wherein testing liquid, developer liquid and pickling liquid are used in the method.

10. The method as claimed in claim 8, wherein the bath data monitored are the respective bath temperature, the level and the contamination.

11. The method as claimed in claim 7, wherein the monitoring signals are used for controlling the system and/or its readjusting units.

12. The method as claimed in one of claims 1 to 11, wherein the monitoring signals and/or the signals from the measured-value processing unit are recorded on a medium.

13. The method as claimed in one of the preceding claims, wherein workpiece-related parameters are measured directly and, if appropriate, recorded.

14. The method as claimed in one of claims 7 to 12, wherein the monitoring signals are used to readjust the illumination intensity and/or the sensor sensitivity of the illumination monitoring sensors and/or the concentration and amount of the testing agent and/or the concentration and amount of the cleaning agent and/or the concentration and amount of the pickling agent and/or settings of the image recording device(s), such as the geometric arrangement of the focus or the sensitivity.

15. The method as claimed in one of the preceding claims, wherein test pieces with reference faults are automatically passed through and the operativeness of the overall system is checked by measuring them.

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### Abstract

The invention relates to a method of automatic fault detection by crack detection by the dye penetrant method, whereas workpieces for the dye penetrant test being treated with penetrant containing dye, so that the dye concentrates at surface faults and, after a predetermined development period, being recorded by at least one image recording device and the recordings being evaluated with regard to faults in an image processing unit by scanning and detecting areas with a concentration of dye, faults being evaluated and corresponding signals are output, by making recordings of the same workpiece at at least two times ( $t_1$ ,  $t_2$ ) following the treatment with penetrating agent, optionally development and obtaining at least two recordings ( $A_1$ ,  $A_2$ ), comparing the recordings ( $A_1$ ,  $A_2$ ) produced at the different times ( $t_1$ ,  $t_2$ ) and evaluating the comparison by means of the evaluation logic of the image processing unit, and outputting signals, by means of the evaluation logic, which represent those changes in the penetrating agent concentration over the time period ( $\Delta t_1$ ,  $t_2$ ) in corresponding areas on the recordings which lie above a change threshold for a reference time difference; and assessing the measured workpiece-related parameters to produce assessment values relating to crack formation, such as good/bad information, fault size assessment by a predefined size interval or in a predefined surface area.

Fig. 2

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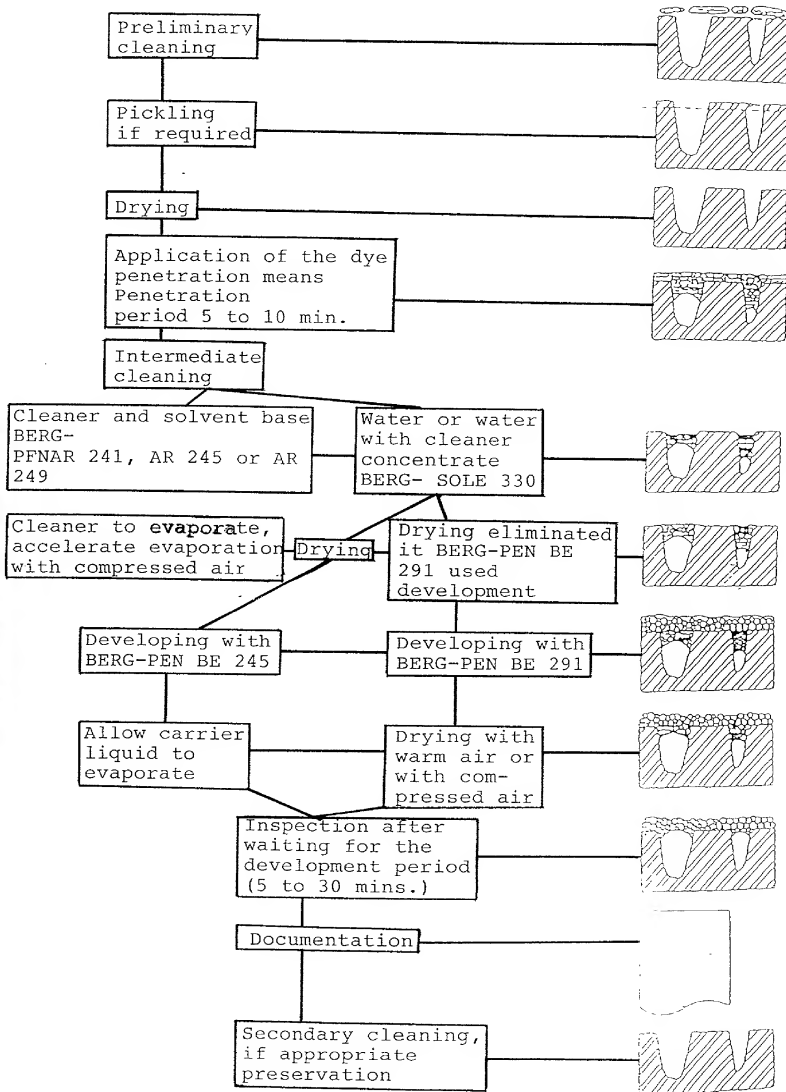
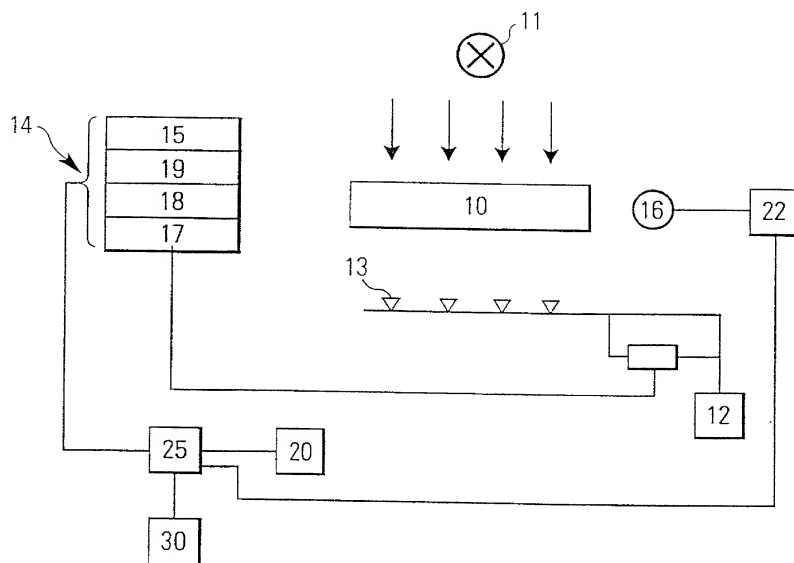
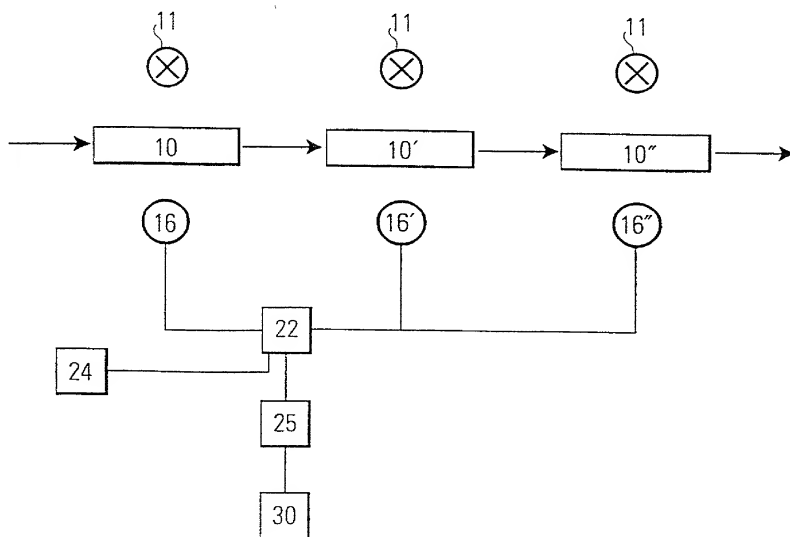


FIG. 1

**FIG. 2**

**FIG.3**

**PATENT**Attorney's Docket No. 00-587**COMBINED DECLARATION AND POWER OF ATTORNEY**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type: (check one applicable item below)

- ☐ original  
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**INVENTORSHIP IDENTIFICATION**

WARNING: If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name.  
 I believe I am the original, first and sole inventor (if only one name is listed below) or an  
 original, first and joint inventor (if plural names are listed below) of the subject matter which  
 is claimed and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

METHOD OF AUTOMATIC FAULT DETECTION BY CRACK DETECTION  
BY THE DYE PENETRANT METHOD

**SPECIFICATION IDENTIFICATION**

the specification of which: (complete (a), (b) or (c))

- (a) ☒ Is attached hereto.  
 (b) ☐ was filed on \_\_\_\_\_ as ☐ Serial No. 0 / \_\_\_\_\_  
 or ☐ Express Mail No., as Serial No. not yet known \_\_\_\_\_  
 and was amended on \_\_\_\_\_ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c) ☒ was described and claimed in PCT International Application No. PCT/DE00/183 filed on January 21, 2000 and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

# ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information

- ☒ which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

(also check the following items, if desired)

- ☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☒ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

## PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) ☐ no such applications have been filed.
- (e) ☒ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the international application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

### A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Germany	199 02 525.8	22,01,1999	<input checked="" type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>

(Declaration and Power of Attorney [1-1]—page 2 of 5)



**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

**POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Robert H. Bachman (19,374); Gregory P. LaPointe (28,395); Barry U. Kelmacher (29,999); Richard S. Strickler (18,228); and George A. Coury (34,309), all members of the firm Bachman & LaPointe, P.C.

(check the following item, if applicable)

- ☐ Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

**SEND CORRESPONDENCE TO**

Gregory P. LaPointe  
Bachman & LaPointe, P.C.  
900 Chapel Street, Suite 1201  
New Haven, CT 06510

**DIRECT TELEPHONE CALLS TO:**  
(Name and telephone number)

Gregory P. LaPointe  
(203) 777-6628

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)

NOTE Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents

Full name of sole or first inventor

Klaus

(GIVEN NAME)

Abend

FAMILY (OR LAST NAME)

Inventor's signature K. KlausDate 7<sup>th</sup> of AUG 2000

Country of Citizenship

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(MIDDLE INITIAL OR NAME)

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Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of third joint inventor, if any

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of 4th joint inventor, if any

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Country of Citizenship \_\_\_\_\_

Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

(Declaration and Power of Attorney [1-1]—page 4 of 5)

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION

- ☐ Signature for third and subsequent joint inventors. Number of pages added \_\_\_\_\_  
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- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_\_  
 . . .
- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added \_\_\_\_\_  
 . . .
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- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.  
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